Gifts of Publicly Traded Securities to Breakthrough T1D Canada



Thank you for considering a gift of securities to Breakthrough T1D Canada. Through your generosity, you are making a significant contribution toward helping Breakthrough T1D Canada accelerate the pace of research to cure, better treat, and prevent T1D.

Transferring your securities directly to Breakthrough T1D Canada is simple and tax smart. When you make a gift of publicly traded securities to Breakthrough T1D Canada, you receive a charitable tax receipt for the fair market value of the security and you do not pay tax on any capital gain.

The following steps will ensure your donation of securities, whether stocks, bonds or mutual funds, is received and processed in a timely and accurate manner:

- 1. Complete the following "Letter of Authorization from Donor to Broker Securities
 Transfer Form" (below). This form instructs your broker to transfer your securities to
 Breakthrough T1D Canada. Please complete all sections of the form, especially the CUSIP number
 that can be used by your broker to track the transaction. (Your broker or financial advisor can assist
 you with completing this form.)
- 2. Please ensure you or your broker submits a copy of the completed transfer form to Breakthrough T1D Canada, prior to transferring securities to our account, to:
 Alan Burvill, CFO, T: 647.789.2007 TF 1.877.287.3533 ext. 2007
 Email: finance@breakthroughT1D.ca

This step is important because, for privacy reasons, your broker cannot disclose your name to us when your securities are transferred to Breakthrough T1D Canada's account. Notifying us will ensure you receive your official receipt for income tax purposes and will allow us to thank you and recognize your generosity in a timely and appropriate manner.

- **3. Fax or send the completed transfer form to your broker** who will initiate, and be responsible for, the transfer. Your broker will then submit the form and your securities to our custodial agent, RBC Direct Investing Inc. and advise us in writing of the receipt of the securities and related details.
- **4. We will issue you a donation receipt** based on the closing price on the date your securities were transferred to the Breakthrough T1D Canada account at our custodial agent, in accordance with our Board Policy and Canada Revenue Agency Regulations.

Questions?

Please contact Alan Burvill, T: 647.789.2007 TF 1.877.287.3533 ext. 2007 Email: finance@breakthroughT1D.ca

THANK YOU FOR YOUR GIFT

Shares are considered legally transferred only when our custodial agent, RBC Direct Investing Inc., confirms delivery. Breakthrough T1D Canada's policy for the sale of donated securities is that all shares be sold as soon as possible after they have been received.

Letter of Authorization from Donor to Broker Securities Transfer Form



To transfer securities to Breakthrough T1D Canada, please ensure the following form is completed and provided to your broker and Breakthrough T1D Canada.

A. DONOR INFORMATION (Req	uired for tax receipting purposes)
First Name	Last Name	
Address		
City	Province	Postal Code
Phone	Email	
B. BROKER INFORMATION		
Financial Institution	Contact Name	
Phone Fa	x Email	
Account Name	Client Account Number	
Security Name Security Name	# of shares/units # of shares/units	CUSIP# / Market Symbol CUSIP# / Market Symbol
(Your bro	oker will be able to provide you with the CUSII	P number.)
Transfers are to be made to:	Account Transfers Department, RBC Direct Investing Inc. 200 Bay Street, Toronto, ON M5J 2Z5 Fax: 1-888-722-2388	Account No. 68742180-16 FINS#: T002 CUID: DOMA DTC: 5002
Date:	Donor's Signature:	